

PATENT  
Attorney Docket No.: 3827.04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )  
CHUNG-HSIANG LIN ) Group Art Unit:  
Serial No.: 10/688,625 ) Examiner:  
Filed: October 17, 2002 )

For: OMNIDIRECTIONAL ONE-DIMENSIONAL PHOTONIC CRYSTAL AND LIGHT  
EMITTING DEVICE MADE FROM THE SAME

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 20, 2003 by Allston L. Jones (Reg. No. 27,906).

Signed: \_\_\_\_\_

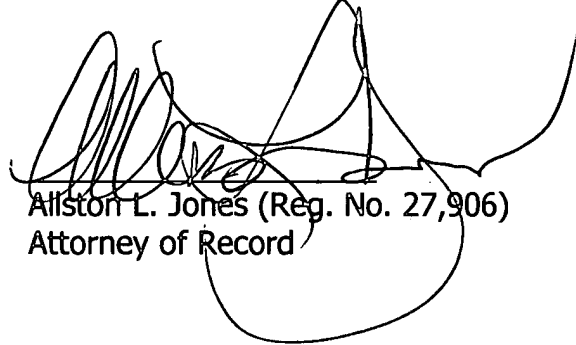
TRANSMITTAL LETTER

Transmitted herewith are the following documents:

1. Transmittal letter, in duplicate;
2. Information Disclosure Statement Under 37 C.F.R. 1.56 and 1.97;
3. PTO-1449;
4. Copies of 3 References as listed on 1449 form; and
5. Postcard.

If fees are required with the filing of these documents, the Commissioner is authorized to charge such fees to Deposit Account 16-1331.

Respectfully submitted,



Allston L. Jones (Reg. No. 27,906)  
Attorney of Record

Date: November 20, 2003

PETERS, VERNY, JONES & SCHMITT, L.L.P.  
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Attorney Docket No. 3827.04



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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE  
MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION  
(37 CFR 1.97(b))

The information disclosure statement submitted herewith is being filed within

three months of the filing date and before the mailing date of a first Office action on the merits. 37 CFR 1.97(b), therefore no fee is required.

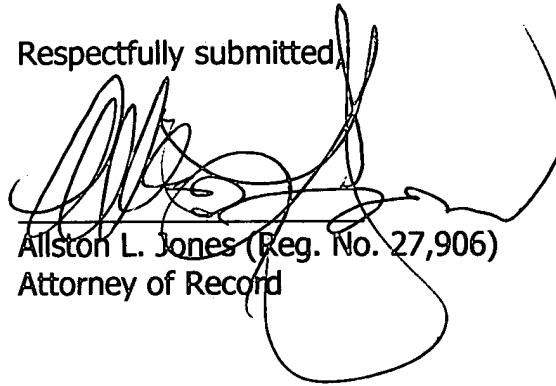
The citation listed on the attached PTO 1449, along with the enclosed copy of the reference, provides the background and may be material to the examination of the above-identified application and is, therefore, submitted in compliance with the duty of disclosure in 37 C.F.R. 1.56, 1.97 and 1.98.

The Examiner is requested to make the citation of official record in this application.

This Information Disclosure Statement under 37 C.F.R. 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art.

If fees are required with the filing of these documents, the Commissioner is authorized to charge such fees to Deposit Account No. 16-1331.

Respectfully submitted,

A large, stylized handwritten signature in black ink, appearing to read 'Allston L. Jones', is written over the typed name and title.

Allston L. Jones (Reg. No. 27,906)  
Attorney of Record

Date: November 20, 2003

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<b>FOR PTO-1449 (Modified)</b> <b>LIST OF PATENTS AND PUBLICATIONS FOR</b> <b>APPLICANTS INFORMATION DISCLOSURE</b> <b>STATEMENT</b> (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 3827.04	<b>SERIAL NO.</b> 10/688,625
	<b>APPLICANT</b> CHUNG-HSIANG LIN	
	<b>FILING DATE</b> October 17, 2003	<b>GROUP</b>

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS												FILING DATE IF APPROPRIATE
EXAMINER INITIAL		DOCUMENT NUMBER								DATE	NAME	CLASS	SUBCLASS	
	A	5	8	1	3	7	5	3		9-29-98	Vriens, et al.	362	293	5-27-97
	B	6	1	3	0	7	8	0		10-10-00	Joannopoulos, et al.	359	584	2-19-99
	C	6	1	5	5	6	9	9		12-5-00	Miller, et al.	362	293	3-15-99

FOREIGN PATENT DOCUMENTS														
		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

OTHER	ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.